

12-03-01

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

IBM DOCKET NO. AUS920010988US1

DATE: 11-29-01

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Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Aschen, et al.

For: **System and Method for Controlling Invalid Password Attempts**

Enclosed are:

- ☒ Patent Specification and Declaration.
- ☒ sheets of drawing(s). (Formal) 7 Sheets.
- ☒ An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).
- ☐ A certified copy of a _____ application.
- ☒ Information Disclosure Statement, PTO 1449 and copies of references

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate	Fee
Basic Fee				740.00
Total Claims	20	0	x \$18	\$ 0.00
Indep. Claims	3	0	x \$84	\$ 0.00
Mult. Dep. Claims	0	0	x \$280	0

Total: \$ 740.00

- ☒ Please charge my Deposit Account No. 09-0447 in the amount of \$ 740.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR Sect. 1.16.
- ☒ Any patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

David Mims Jr.

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11/29/01
JC986 U.S. PTO

JC975 U.S. PTO
09/998389
11/29/01

09993339 11/29/01